 12/06/01 131 U.S. PTO	<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>Only for new nonprovisional applications under 37 CFR 1.53(b)</i>	<b>Attorney Docket No.:</b> 2001_1708A
		<b>First Named Inventor :</b> Yoshihiro UENO et al.
		<b>Title:</b> HEAD SLIDER AND DISK DRIVE APPARATUS USING THE SAME
		<b>Express Mail Label No.:</b>



<b>APPLICATION ELEMENTS</b> <i>See MPEP chapter 600 concerning utility patent application contents.</i>	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, D.C. 20231
1. <input checked="" type="checkbox"/> <b>Fee Transmittal Form</b> <i>(Submit an original, and a duplicate for fee processing)</i>	7. <input type="checkbox"/> <b>CD-ROM or CD-R in duplicate, large table or computer program (Appendix)</b>
2. <input type="checkbox"/> <b>Small Entity Status is hereby asserted.</b>	8. <input type="checkbox"/> <b>Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</b> a. <input type="checkbox"/> <b>Computer Readable Form</b> b. <b>Specification Sequence Listing on:</b> i. <input type="checkbox"/> <b>CD-ROM or CD-R (2 copies); or</b> ii. <input type="checkbox"/> <b>Paper</b> c. <input type="checkbox"/> <b>Statement verifying identity of above copies</b>
3. <input checked="" type="checkbox"/> <b>Specification</b> <i>[Total Pages: 38]</i> <i>(preferred arrangement set forth below)</i> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Sequence Listing, a table, or a computer program listing appendix. - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings <i>(if filed)</i> - Detailed Description - Claim(s) - Abstract of the Disclosure	<b>ACCOMPANYING APPLICATION PARTS</b> 9. <input type="checkbox"/> <b>Assignment Papers (cover sheet &amp; document(s))</b> 10. <input type="checkbox"/> <b>37 CFR 3.73(b) Statement (when there is an assignee)</b> <input type="checkbox"/> <b>Power of Attorney</b> 11. <input type="checkbox"/> <b>English Translation Document (if applicable)</b> 12. <input checked="" type="checkbox"/> <b>Information Disclosure Statement (IDS)/PTO-1449</b> <input checked="" type="checkbox"/> <b>Copies of IDS Citations</b> 13. <input checked="" type="checkbox"/> <b>Preliminary Amendment</b> 14. <input checked="" type="checkbox"/> <b>Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</b> 15. <input checked="" type="checkbox"/> <b>Certified Copy of Priority Document(s)</b> <i>(if foreign priority is claimed)</i> 16. <input type="checkbox"/> <b>Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).</b> Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> <b>Other</b>
4. <input checked="" type="checkbox"/> <b>Drawing(s) (35 USC 113)</b> <i>[Total Sheets: 12]</i>	
5. <input checked="" type="checkbox"/> <b>Oath or Declaration</b> <i>[Total Pages : 5]</i> a.1. <input type="checkbox"/> <b>Newly executed (original or copy)</b> a.2. <input checked="" type="checkbox"/> <b>Unexecuted</b> b. <input type="checkbox"/> <b>Copy from a prior application (37 CFR 1.63(d))</b> <i>(for continuation/divisional with Box 18 completed)</i> i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input type="checkbox"/> <b>Application Data Sheet (see 37 CFR 1.76)</b>	

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

☐ Continuation      ☐ Divisional      ☐ Continuation-in-part (CIP)      of prior application No.

Prior Application Information: Examiner      Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

<b>19. CORRESPONDENCE ADDRESS</b>  <b>000513</b> PATENT TRADEMARK OFFICE	<b>By:</b>  Charles R. Watts Registration No. 33,142  WENDEROTH, LIND & PONACK, L.L.P. 2033 "K" Street, N.W., Suite 800 Washington, D.C. 20006-1021 Phone:(202) 721-8200 Fax:(202) 721-8250  December 6, 2001
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Yoshihiro UENO et al.

Serial No. NEW

Filed December 6, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Attn: APPLICATION BRANCH

Attorney Docket No. 2001\_1708A

HEAD SLIDER AND DISK DRIVE  
APPARATUS USING THE SAME

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$824.00 to cover Patent Office fees relating to filing the following attached papers:

New application ..... \$740.00

Additional Claims Fee:

Excess of Twenty ..... \$ 84.00

Independent ..... \$

Multiple Dependent Fee ..... \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Yoshihiro UENO et al.

By

  
Charles R. Watts

Registration No. 33,142

Attorney for Applicants

CRW/asd  
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Telephone (202) 721-8200  
December 6, 2001

[Check No. 47817]

2001\_1708A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Yoshihiro UENO et al. : **Attn: APPLICATION BRANCH**  
Serial No. NEW : Docket No. 2001\_1708A  
Filed December 6, 2001 :  
**HEAD SLIDER AND DISK DRIVE  
APPARATUS USING THE SAME**

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**COVER LETTER FOR APPLICATION FILED  
WITHOUT EXECUTED DECLARATION**

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.52(d).

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K Street, N.W., Suite 800  
Washington, D.C. 20006-1021

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